

Scottrade options>first Rule 606 Disclosure :: 3Q2009

Scottrade options>first (SCTO), a division of Scottrade, Inc. has prepared this report pursuant to a U.S. Securities and Exchange Commission rule (§240.11Ac1-6 / §242.606) requiring all brokerage firms to make available to the public quarterly reports about their order routing practices. This report applies solely to orders handled via the Scottrade OptionsFirst trading platform. Statistics in connection with Scottrade's other trading platforms can be found at www.scottrade.com.

The report provides information on the routing of "non-directed orders" -- any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, SCTO has selected the execution venue on behalf of its customers.

Note that all order flow originating from the account of a broker-dealer other than SCTO has been excluded from this report per the 606 guidelines.

The report is divided into four sections:

1. New York Stock Exchange listed securities
2. Nasdaq Stock Market listed securities
3. American Stock Exchange and regional exchanges listed securities
4. Exchange listed options

For each section, this report identifies the venues most often selected by SCTO, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects of SCTO's relationship with the venues.

This report has been reviewed by S3, a third party market surveillance and auditing firm. Questions regarding this report should be addressed to Scottrade OptionsFirst Customer Support at (877) 223-3344.

1. New York Stock Exchange Securities

<i>For quarter ending 9/30/2009</i>	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Orders Routed to:				
Citigroup Global Markets Inc.¹	51.77%	49.89%	51.83%	53.61%
Citadel Execution Services LLC²	48.23%	50.11%	48.17%	46.39%
Total SCTO Orders	100.0%	8.9%	84.3%	6.8%

* Other Orders are Stop Orders and Stop Limit Orders.

New York Stock Exchange

The chart above shows the percentages of orders placed for securities trading on the New York Stock Exchange (NYSE).

¹ Scottrade receives payment from Citigroup Global Markets for certain types of orders in listed securities. Payment is variable based on order and execution types. Payments during third quarter 2009 averaged at or below \$0.001 per share.

Scottrade's Director of Trading Services is a shareholder of Citigroup.

² Scottrade receives payment from Citadel Execution Services for certain types of orders in listed securities. Payment is variable based on the security and order type. Payments during third quarter 2009 averaged at or below \$0.001 per share.

2. NASDAQ Stock Exchange Securities

<i>For quarter ending 9/30/2009</i>	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Orders Routed to:				
Citigroup Global Markets Inc.¹	51.80%	49.32%	52.17%	50.89%
Citadel Execution Services LLC²	48.20%	50.68%	47.83%	49.11%
Total SCTO Orders	100.0%	10.1%	83.1%	6.8%

* Other Orders are Stop Orders and Stop Limit Orders.

Nasdaq

The chart above shows the percentages of orders placed for securities trading on the Nasdaq Stock Market (Nasdaq).

¹ Scottrade receives payment from Citigroup Global Markets for certain types of orders in Nasdaq securities. Payment is variable based on order and execution types. Payments during third quarter 2009 averaged at or below \$0.001 per share.

Scottrade's Director of Trading Services is a shareholder of Citigroup.

² Scottrade receives payment from Citadel Execution Services for certain types of orders in Nasdaq securities. Payment is variable based on the security and order type. Payments during third quarter 2009 averaged at or below \$0.001 per share.

3. American / Regional Stock Exchange Securities

<i>For quarter ending 9/30/2009</i>	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Orders Routed to:				
Citadel Execution Services LLC¹	50.24%	49.23%	50.13%	52.55%
Citigroup Global Markets Inc.²	49.76%	50.77%	49.87%	47.45%
Total SCTO Orders	100.0%	9.2%	82.9%	7.9%

* Other Orders are Stop Orders and Stop Limit Orders.

AMEX/Other

The chart above shows the percentages of orders placed for securities trading on the American Stock Exchange (AMEX) and regional exchanges.

¹ Scottrade receives payment from Citigroup Global Markets for certain types of orders in listed securities. Payment is variable based on order and execution types. Payments during third quarter 2009 averaged at or below \$0.001 per share.

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² Scottrade receives payment from Citadel Execution Services for certain types of orders in listed securities. Payment is variable based on the security and order type. Payments during third quarter 2009 averaged at or below \$0.001 per share.

4. Listed Options

<i>For quarter ending 9/30/2009</i>	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Orders Routed to:				
Citadel Execution Services LLC¹	55.14%	56.01%	55.13%	53.10%
Citigroup Global Markets Inc.²	44.86%	43.99%	44.87%	46.90%
Total SCTO Orders	100.0%	3.5%	95.2%	1.3%

* Other Orders are Stop Orders and Stop Limit Orders.

Exchange Listed Options

The chart above shows the percentages of orders placed for securities trading as Exchange Listed Options.

¹ Scottrade receives payment from Citadel Execution Services LLC for certain types of option orders routed to them for execution on an option exchange. Payment is determined based on a schedule provided by Citadel. Payments in third quarter 2009 averaged at or below \$0.70 per contract.

² Scottrade receives payment from Citigroup Global Markets Inc. for certain types of option orders routed to them for execution on an option exchange. Payment is determined based on a schedule provided by Citigroup. Payments in third quarter 2009 averaged at or below \$0.70 per contract.

Scottrade's Director of Trading Services is a shareholder of Citigroup.